

Grant Budget Guidelines

NetVUE Professional Development Awards

This document provides important information about the development of proposals and the preparation of budgets for NetVUE Professional Development Awards. A sample budget (along with notes on specific categories) can be found at the end of this document; it is also available as an Excel spreadsheet upon request. Please use Microsoft Excel or a similar spreadsheet program to format your budget. Please also provide concise budget notes that explain the basis of the calculation for each item.

Grant funds may be used for a range of purposes, but not to substitute for faculty and staff costs that the institution is presently providing for its vocational exploration activities. Grant proposals may, however, include additional stipends or buyouts of faculty and staff time in pursuit of the aims of the project. In general, grant funds are intended to support professional development of faculty and staff members, so that they may strengthen vocational exploration programming for students.

In addition, please note the following important budget requirements:

- The following are **not** eligible for grant support: capital expenditures (including the purchase of durable goods or equipment in any amount); salaries or stipends for additional personnel (including graduate assistants) hired specifically for grant-related purposes; direct fundraising expenses, and indirect or overhead costs. All these expenses must be borne by the institution.
- In the case of stipends to current employees, only the employer's share of FICA (currently 7.65%) may be included. Grant funds may not be used to support other personnel benefits, whether as a fixed sum or on a "fringe percentage" basis.
- Any speaker honoraria included in the grant proposal should be modest and not exceed \$1,000 per speaker per day. Further details about this policy may be found below.
- Travel expenses for participation in NetVUE conferences and gatherings are the responsibility of the institution and will not be covered by grant funds.
- The NetVUE Consultant and Campus Visit programs are funded separately and should not be included in the grant budget.

If any unallowable items are included in the grant proposal, they will be removed from the budget and the total amount requested will be reduced accordingly. Applicants will not have the opportunity to revise the project to substitute other expenses for excluded items.

The remainder of this document is devoted to frequently asked questions concerning grant proposal budgets. Applicants will increase their chances of success by reading and carefully following the advice that is offered here.

What categories should appear in the budget?

Please provide budget line items that match the expenditures proposed. Specific categories of expenditures will depend on the project described in the proposal. Typical budget categories are listed below and in the budget sample at the end of this document. Please provide a separate document with budget notes that explain the basis of the calculation for each item in the budget. For example:

- Released time for current employees to support development of new programs is an allowable grant expense. If the grant will provide such compensation to current employees, the budget should include the number of project leaders and/or administrative assistants, the cost per person (by dollar stipend or proportion of salary related to released time), and a subtotal. A stipend may be treated as extra compensation for the individual when the time commitment is not substantial and the work can be carried out while the individual continues with normal duties. Compensation in the form of released time from teaching should be limited to amounts that the institution will spend this amount to replace those duties.
- When stipends are to be paid to employees of the institution, the budget may include a separate line item for the employer's share of Social Security and Medicare tax (commonly known as FICA, currently 7.65%), which is paid by the institution on employee wages. Beyond this 7.65% allowance, any additional fringe benefits—whether paid as a lump sum or a percentage of salary—are the responsibility of the institution. Note: If this line item is not included, the institution will be responsible for covering this expense. Grant funds may not be reallocated at a later date to cover these costs.
- The costs of lodging, meals, and transportation for retreats (and similar events) are allowable grant expenditures. Budgets for lodging should include the number of participants projected, the number of lodging nights, the cost per night, and a subtotal. Similarly, budgets for meals should provide the projected number of participants, amount per meal per participant, and a subtotal. Any transportation expenses should indicate a cost estimate per person (or vehicle, if carpooling), number of persons, and a subtotal.
- Speaker honoraria should indicate the number of speakers, amount per speaker, and a subtotal. See below for more information regarding NetVUE policy on honoraria.
- If refreshments and meals are to be provided, a budget line should appear for each event, providing the projected number of participants, amount per meal per participant, and a subtotal.
- Expenditures listed for books, other materials, or supplies should indicate the number of copies of the texts, documents, or other items, and a subtotal.
- Other expenses that do not fit the above categories should be included in a separate "Other" category (with an explanation in the notes).

What rows/columns should be included in the budget?

Please create separate lines for distinct categories of expenses as described above, and separate columns for requested grant funds and for any additional institutional support. Please also include a row at the end of the budget listing the total allocated funds. The grant fund total should match the amount requested in the grant proposal, up to \$10,000. See the budget sample for further details.

May grant funds be used for student or staff participation in a third-party program or event?

Expenditures for one-time costs related to third-party programs, conferences, or trainings will be considered only as a pilot project or one-time expenditure to significantly expand an existing program that the institution will sustain at this expanded level. This includes per-student fees for assessment instruments, attendance at professional conference not related directly to the grant, or costs for certifying staff to administer a particular program or assessment instrument. Participation in external programs or events that would be considered part of the institution's ongoing operations are not eligible to receive grant funding.

What is the NetVUE policy for honoraria for speakers and facilitators?

NetVUE limits honoraria from NetVUE grant funds to \$1,000 per speaker or facilitator per day. Any amount beyond this limit must come from institutional funds.

What types of expenses are not allowed?

Grant funds may be used for a range of purposes, but not for:

- Ongoing faculty and staff costs that the institution is presently providing;
- Capital expenses or durable goods expenditures, including equipment purchases;
- Stipends or salaries for additional personnel (or graduate students) hired specifically to support the grant project;
- Fringe benefits beyond the 7.65% Social Security and Medicare tax allowance;
- Direct fundraising expenses; or
- Indirect or overhead costs.

May the grant proposal include funding requests for NetVUE consultants or campus visits?

No. NetVUE provides a separate process for applying for funding for campus consultants or campus visits. See the NetVUE website for details or contact David S. Cunningham, director of NetVUE, at dcunningham@cic.nche.edu or (616) 395-6750.

May grant funds be used to attend NetVUE conferences and gatherings?

No. Travel expenses for participation in NetVUE conferences and gatherings are the responsibility of the institution and cannot be covered by NetVUE grant funds.

What happens if changes in the approved grant budget are needed?

NetVUE grant recipients are expected to expend funds as outlined in the approved budget. However, changes in expenditures (compared with the proposed budget) are sometimes necessary. If a variance of more than 20 percent in a line item is expected, the grant recipient should request a reallocation of funds as early as possible, and in no case later than three months prior to the end of the grant spending period. Please send requests to Lynn Hunnicutt at lhunnicutt@cic.nche.edu. Reallocation requests should provide a rationale for the change, as well as a revised budget; the latter should be formatted to show the original budget and the requested revision, so that these may be easily compared. Requests submitted without a revised budget cannot be considered. The request will be reviewed and, if approved, the revised budget will become the basis for subsequent spending reports.

Can the grant spending deadline be extended?

Funds should be expended during the grant period. In rare instances, NetVUE has considered an extension of the spending deadline of no more than 60 days. Requests for extensions should be made at least three months before the end of the grant period to the NetVUE assistant director.

What happens to unexpended funds at the end of the grant period?

At the end of the grant period, residual funds of more than \$250 must be returned to the Council of Independent Colleges and will be used to support future NetVUE grant programs.

Additional questions?

Please contact Lynn Hunnicutt, assistant director of NetVUE, at lhunnicutt@cic.nche.edu or (253) 535-7644.

Sample Budget

NetVUE Professional Development Award

	<u>NetVUE Grant</u>	<u>Institutional Support</u>
Faculty/staff retreat (July)		
Lodging: 13 participants staying 1 night @ \$100/night	\$ 1,300.00	
Transportation: 4 cars for 150 miles @ \$.54 per mile	\$ 324.00	
Meals/snacks for 13 participants-2 days @ \$70/day	\$ 1,820.00	
Materials: 13 copies of At this Time and In this Place @ \$26 each	\$ 338.00	
Fall faculty/staff workshop (2 meetings)		
Stipends: 10 participants @ \$200 each	\$ 2,000.00	
FICA for stipends at 7.65%	\$ 153.00	
Refreshments: 10 participants @ \$25/day x two sessions	\$ 500.00	
Materials: 10 copies of Leading Lives that Matter at \$28 each, plus \$55 for office supplies	\$ 335.00	
Project direction		
Director - two course replacements @ \$3,000 each	\$ 3,000.00	\$ 3,000.00
Replacement FICA (7.65%)	\$ 229.50	\$ 229.50
Total	\$ 9,999.50	\$ 3,229.50

NetVUE Professional Development Award Sample Budget Notes

Faculty/Staff Retreat (July)

- Thirteen key faculty and staff members will participate in an overnight retreat intended to consider ways to introduce the concept of vocation among the wider campus community. Lodging at a nearby retreat center @ \$100 per person, inclusive of taxes.
- Transportation – carpooling is assumed, four cars will be driven 75 miles each way.
- Meals and snacks for two days @ \$70 per person (day 1 refreshments @ \$10, day 1 dinner @ \$25, day 2 breakfast @ \$10, day 2 refreshments @ \$10, and day 2 lunch @ \$15).
- Materials – participants will read and discuss *At this Time and in this Place*.

Fall faculty/staff workshop (October)

- A second set of key faculty and staff will consider ways to implement vocational reflection in work with students. Stipends of \$200 per person will be paid for two days during Fall Break. Grant funds will also be used for FICA of 7.65%.
- Mid-morning refreshments (coffee at \$10 per carafe and cookies at \$15 per platter) will be provided at both sessions.
- Participants will receive selected readings from a variety of sources, as well as a copy of *Leading Lives that Matter: What We Should Do and Who We Should Be*. In addition, grant funds will be used to purchase large post-it easel pads, markers, pens and other supplies.

Project direction (September – May)

- The Project Director will receive two course releases to be used in the fall and spring semesters. The standard rate for visiting faculty is \$3,000 per course taught. Grant funds will be used for the fall course release, including FICA at 7.65%. Institutional funds will be used for the course release and FICA for the spring.